

Commissions & Sales Credits Grid



	Min	Max
Treasury Bills / Short Term Bonds (<9 MONTHS)	0.10%	1.00%
FIXED INCOME (>9 MONTHS)	0.15%	2.00%
EQUITIES & ETFs - <i>Whichever is Greater</i>	\$50/0.15%	1.00%
EQUITIES & ETFs (Online Trading)	\$50	\$150
INTERNATIONAL EQUITIES & ETFs- <i>Whichever is Greater</i>	\$100/0.25%	1.00%
MUTUAL FUNDS		
<i>Class A or Equivalent (2% Upfront Fee - 0% Redeem Fee)</i>	0.15%	2.00%
<i>Class C or Equivalent (0% Upfront Fee - 1%<1Yr Redeem Fee)</i>	0.00%	0.00%
Structure Products (USD)	0.25%	3.00%
LISTED OPTIONS - % of the Principal Investment	\$100	0.50%
ALTERNATIVES	0.50%	4.00%

Above is a list of our commission schedule and ticket charges for products we purchase or sell for your account with Mariva Capital Markets, LLC (“MCM”) that are custodied by Pershing. The commission schedule and ticket charges above do not include additional fees charged by MCM or Pershing for customer handling, account maintenance, ACATs, transfers, wires, etc. For additional fees applicable to your account please refer to your schedule of Account Service Charges or contact [Mariva Capital Markets LLC, (305) 712-6999, operations@marivacm.com]. The fees above may change at any time as permitted by applicable regulations and the terms of the clearing agreement between MCM and Pershing.